



U.S. Department  
of Transportation

Urban Mass  
Transportation  
Administration

# NOTICE

UMTA N 0000.26B

September 16, 1988

Subject: URBAN MASS TRANSPORTATION ADMINISTRATION REGULATIONS  
CHECKLIST

EXPIRES: March 16, 1988

1. PURPOSE. This notice transmits a checklist of current Federal regulations relating to the Urban Mass Transportation Administration's (UMTA) programs. This checklist functions as a working index to regulations governing (or applicable to) Federal financial assistance from UMTA.
2. CONTENTS. Attachment 1 lists UMTA and related Federal regulations. This checklist is provided for convenience and should not be relied on in place of governing statutes or terms of contracts or grant agreements. Attachment 2 provides an information form for requesting copies of these regulations.
3. CHECKLIST MANAGEMENT. The regulations checklist will be updated every six months and mailed to UMTA grantees. (Regulations frequently will change prior to the issuance of the checklist, therefore, recipients should monitor the Federal Register and the list of sections affected as described in paragraph 5.) An expiration date will be placed on the checklist. If a grantee has not received an updated regulations checklist to replace the expired one, a request may be called in or mailed to the UMTA office provided in paragraph 7.
4. REGULATIONS PUBLICATION. Federal regulations are made publicly available through the Federal Register system. The Federal Register system is composed primarily of two major publications; the Code of Federal Regulations which is revised annually, and the Federal Register which is published daily. The two publications must be used together to provide an up-to-date version of any given Federal agency regulation.
  - a. The Code of Federal Regulations. The Code of Federal Regulations (CFR) is the basic component of the Federal Register publication system. The CFR is an annually revised codification of general and permanent rules published in the Federal Register by the executive departments and agencies of the Federal government.

The CFR is divided into 50 titles according to subject matter. Titles represent broad areas subject to regulation. Titles are divided into chapters, chapters are divided into parts, and parts are divided into sections.

The latest edition of the CFR incorporates changes published in the Federal Register as of the date noted on the cover. Because of the changing nature of the CFR, volumes are not numbered but referenced by the parts contained in them. UMTA's regulations are in Title 49, Chapter VI.

DISTRIBUTION: UMTA Headquarters Offices (U-W-2)  
UMTA Regional Offices (U-X-2)

OPI: Office of  
Management  
Planning

- b. The Federal Register. The Federal Register is a daily publication that provides a uniform system for publishing Presidential and Federal agency documents. The Federal Register contains certain Presidential documents, documents of general applicability and legal effect, and documents required to be published by statute or regulation. In addition, each Federal Register contains a number of finding aids designed to make the Federal Register system easier to use. These aids appear at the beginning and end of each issue.

Changes to the CFR are published in the Federal Register as codified regulations. However, not all documents published in the Federal Register are codified in the CFR. For example, a notice of an environmental studies meeting is published in the Federal Register, but not codified in the CFR. Another example would be notices of proposed rulemaking (NPRM's), which are new regulations or amendments to existing regulations that are under consideration. NPRM's are published in the Federal Register to give interested parties the opportunity to participate in the rulemaking process before adoption of the regulation or amendment as a final rule.

5. METHOD TO ASSURE KNOWLEDGE OF THE CURRENT STATUS OF REGULATIONS.

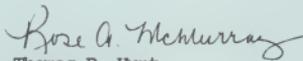
- a. Regulations issued by UMTA and the Department of Transportation (DOT). Generally, UMTA sends copies of significant new UMTA or DOT regulations or amendments to existing UMTA or DOT regulations to each grantee by means of a "Dear Colleague" letter. Grantees also are informed about new regulations or amendments to existing regulations through UMTA's Regional Offices. However, grantees should follow the procedure described in paragraph 5b for keeping up with regulations to assure the current status of any Federal regulation including those issued by UMTA or DOT. For further guidance on using the Federal Register and related publications, grantees may wish to order a handbook by the Government Printing Office (GPO) entitled, "The Federal Register: What It Is And How To Use It." (See GPO order information in Attachment 2.)
- b. Regulations issued by other Federal agencies. To determine whether a particular Part of the CFR has been amended since the revision date on the cover of the volume, the grantee needs to consult the List of CFR Sections Affected (LSA), and the Cumulative List of Parts Affected. The cumulative list of Parts Affected appears in the Reader Aids section of the daily Federal Register. The LSA is published monthly and is keyed to the CFR volumes by Title, Part, and Section. Each issue of the LSA contains detailed explanations on how to use the publications, and a Parallel Table of Authorities and Rules displaying additions and removals of authority and a table of Federal Register pages and dates. Both the Cumulative List of Parts Affected and the LSA will identify the Federal Register volume and page number of the latest amendment of any given rule.

9-16-88

A subject index to the Code of Federal Regulations is continued in a separate volume, revised annually as of January 1, entitled, CFR Index And Finding Aids. This volume contains the Parallel Table of Statutory Authorities and Agency Rules (Table I of the CFR Index), and Acts Requiring Publication in the Federal Register (Table III of the CFR Index). A list of CFR Titles, Subtitles, Chapters, Subchapters, and Parts, and an alphabetical list of agencies publishing in the CFR also are included in this volume. There also is a Federal Register Index which is a separate publication issued monthly and is cumulated for 12 months. The Federal Register Index is based on a consolidation of the "Contents" entries in the daily Federal Register.

6. PROCEDURES FOR ORDERING REGULATIONS. The following steps are provided to assist requestors in ordering Federal regulations:
  - a. Read Attachment 1 and select the regulations of interest, noting title and part (for example, title: 49 CFR - Transportation, Part: 623);
  - b. Read Attachment 2 and select an ordering option(s) (if individual titles are ordered, call the Government Printing Office for price information); and
  - c. After selecting an option(s), either call the Government Printing Office with your order, or write the Government Printing Office (see Attachment 2). Include all pertinent information from the order information form in Attachment 2 and payment.
7. CHECKLIST CONTACT. The following UMTA office may be contacted if a grantee has not received an updated checklist:

Urban Mass Transportation Administration  
Office of Administration  
Administrative Services Division, Room 7427  
400 - 7th Street, S.W.  
Washington, D.C. 20590  
Telephone: (202) 366-4865

  
for Thomas R. Hunt  
Associate Administrator  
for Administration

ATTACHMENT 1

FEDERAL REGULATIONS CHECKLIST

UMTA REGULATIONS

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
<u>49 CFR - TRANSPORTATION, CHAPTER VI</u>		
603	Claims Under the Federal Claims Collection Act	Regulations setting out UMTA procedures regarding collection of claims owed the United States
604	Charter Service	Regulations governing UMTA recipients' provision of charter services
605	School Bus Operations	Regulations governing UMTA recipients' provision of bus services to students and school personnel
609	Transportation for Elderly and Handicapped Persons	Regulations governing UMTA recipients' planning of special services for elderly and handicapped persons, and standards for accessibility of fixed facilities and mass transit vehicles to elderly and handicapped persons
613	Planning Assistance and Standards	Regulations governing transportation planning by those UMTA recipients that are urbanized areas
622	Environmental Impact and Related Procedures	Regulations governing preparation of environmental instruments and related documents on historical, parklands, wetlands, wildlife, and archaeological preservation by UMTA and UMTA recipients
623	Air Quality Conformity and Priority Procedures for Use in Federal-Aid Highway and Federally Funded Transit Programs	Regulations governing UMTA recipients' planning for compliance with national ambient air quality standards for transportation-related pollutants

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
630	Uniform System of Accounts and Records and Recording System	Regulations governing UMTA recipients' data collection and recordkeeping, and the reporting of that information
661	Buy America Requirements - Surface Transportation Assistance Act of 1982	Domestic preference regulations governing UMTA recipients' procurement of facilities and equipment

RELATED REGULATIONS

49 CFR - TRANSPORTATION

(Issued by the Department of Transportation, Office of the Secretary)

18	Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments	Fiscal and administrative regulations governing grants and cooperative agreements to UMTA recipients; OMB Circular A-102
21	Nondiscrimination in Federally Assisted Programs of the Department of Transportation - Effectuation of Title VI of the Civil Rights Act of 1964	Regulations prohibiting discrimination in USDOT financial assistance programs on the grounds of race, color, or national origin
23	Participation by Minority Business Enterprise in Department of Transportation Programs	Regulations facilitating contracting opportunities in USDOT financial assistance programs for businesses owned and controlled by socially and economically disadvantaged individuals
25	Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally-Assisted Programs	Regulations governing land acquisition for projects receiving USDOT financial assistance and compensation to persons displaced by those projects

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
27	Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefitting from Federal Financial Assistance	Regulations setting minimum criteria for provision of transportation services to elderly and handicapped persons in programs receiving USDOT financial assistance
29	Debarments, Suspension and Voluntary Exclusions	Regulations governing suspensions, debarments, and voluntary exclusions of contractors and subcontractors from participation in programs receiving USDOT financial assistance
31	Program Fraud and Civil Remedies	Regulations governing USDOT's impositions of civil penalties and assessments for false claims and false statements

5 CFR - ADMINISTRATIVE & PERSONNEL

(Issued by the Office of Personnel Management)

151	Political Activity of Local Officers or Employees	Regulations governing State or political activities by State and local officers or employees whose principal employment is in connection with activities financed in whole or part by grants from Federal agencies
-----	---	--

23 CFR - HIGHWAYS

(Issued by the Federal Highway Administration, Department of Transportation)

450	Planning Assistance and Standards	Regulations governing transportation planning by those UMTA recipients that are in urbanized areas
770	Air Quality Conformity and Priority Procedures for use in Federal-Aid Highway and Federally-Funded Transit Programs	Regulations governing UMTA recipients' planning for compliance with national ambient air quality standards for transportation-related pollutants

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
771	Environmental and Related Procedures	Regulations governing preparation of environmental instruments and related documents on historical, parklands, wetlands, wildlife, and archaeological preservation by UMTA and UMTA recipients

29 CFR - LABOR

(Issued by the Department of Labor)

1	Procedures for Predetermination of Wage Rates	Regulations prescribing minimum wage rates for laborers and mechanics on construction projects receiving Federal financial assistance
3	Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States	"Anti-kickback" regulations applicable to public works projects receiving Federal financial assistance
5	Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Nonconstruction Contracts Subject to the Contract Work Hours and Safety Standards Act	Secretary of Labor's authorities for coordinating the administration and enforcement of labor standards under the Contract Work Hours and Safety Standards Act
6	Rules of Practice for Administrative Proceedings Enforcing Labor Standards in Federal and Federally Assisted Construction Contracts and Federal Service Contracts	Rules of practice for administrative proceedings before the Employment Standards Division of USDOL
7	Practice Before Wage Appeals Board	Rules of practice for administrative proceedings before the Wage Appeals Board of USDOL

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
30	Equal Employment Opportunity in Apprenticeship and Training	Regulations prohibiting discrimination on the grounds of race, color, sex, religion, or national origin in apprenticeship and training programs receiving Federal financial assistance
215	Guidelines, Section 13(c), Urban Mass Transportation Administration Act of 1964, as Amended	Administrative procedures and guidance for USDOL's issuance of certifications of employee protective arrangements in accordance with UMT Act section 13(c)
1926	Safety and Health Regulations for Construction	Safety and health standards governing public works programs and projects receiving Federal financial assistance
<u>31 CFR - MONEY AND FINANCE AND TREASURY</u> (Issued by the Department of Treasury)		
205	Withdrawal of Cash from the Treasury for Advances Under Federal Grant and Other Programs	Regulations governing the use of U.S. Treasury letters of credit by recipients of Federal financial assistance
<u>36 CFR - PARKS, FORESTS, AND PUBLIC PROPERTY</u> (Issued by the Advisory Council on Historic Preservation)		
800	Protection of Historic and Cultural Properties	Procedural regulations for identifying and preserving historic and cultural properties affected by public works projects receiving Federal financial assistance
<u>40 CFR - PROTECTION OF ENVIRONMENT</u> (Issued by the Environmental Protection Agency; Council on Environmental Quality)		
15	Administration of the Clean Air Act and the Clean Water Act with Respect to Contracts, Grants, and Loans-- List of Violating Facilities	Clean air and clean water standards applicable to public works projects receiving Federal financial assistance

<u>PART</u>	<u>SUBJECT</u>	<u>DESCRIPTION</u>
249	Guideline for Federal Procurement of Cement and Concrete Containing Fly Ash	Regulations governing procurement of cement and concrete containing fly ash in public works projects receiving Federal financial assistance
1500	Purpose, Policy, and Mandate	Regulations governing all Federal agencies in their implementation of the goals and procedures of the National Environmental Policy Act
<u>41 CFR - PUBLIC CONTRACTS AND PROPERTY MANAGEMENT</u> (Issued by the General Services Administration and the Department of Labor)		
60	Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor	Regulations prohibiting discrimination on the grounds of race, color, sex, religion, or national origin by Government contractors and contractors performing under contracts receiving Federal financial assistance
<u>46 CFR - SHIPPING</u> (Issued by the Maritime Administration, Department of Transportation)		
381	Cargo Preference-- U.S. Flag Vessels	Regulations establishing preferences for U.S. Flag Vessels on cargo procured or contracted for either within or outside the United States

ATTACHMENT 2

FEDERAL REGULATIONS ORDER INFORMATION

The Code of Federal Regulations (CFR) and the Federal Register may be ordered by telephone or via written correspondence. The material may be requested in the following ways:

\*\*\*\*\*

CFR:

- o Annual subscription of all 50 titles of the CFR -- Cost: \$595/year
- o Individual volumes of the CFR -- Cost: Varies with each volume. Call the Government Printing Office with the title and part for the cost of the volume containing that part.

Federal Register:

- o Annual subscription of the Federal Register -- Cost: \$340/year
- o Individual copies of the Federal Register, ordered by each particular date -- Cost: \$1.50 each

\*\*\*\*\*

To order, call or send a letter to:

Superintendent of Documents  
Government Printing Office  
Washington, D.C. 20402

Telephone: (202) 783-3238

4220

DOT LIBRARY



00399364