

# SELECTING A PREVENTIVE MAINTENANCE TREATMENT FOR FLEXIBLE PAVEMENTS

Dr. R. Gary Hicks, P.E.

Stephen B. Seeds, P.E.

and

David G. Peshkin, P.E.

for

Foundation for Pavement Preservation

October 1999

# Presentation Outline

- ❑ Background and Objectives
- ❑ Establishing a Preventive Maintenance Program
- ❑ Framework for Treatment Selection and Timing
- ❑ Analysis to Determine the Most Effective Treatment
- ❑ Summary

# Background

## ❑ Pavement Management Systems

- Most Agencies have one
- Usually contain maintenance component

## ❑ Limitations

- Models to determine cost effective treatment
- Most don't contain proper treatment timing

# Background (continued)

## □ Types of Pavement Maintenance

### ● Preventive (Proactive)

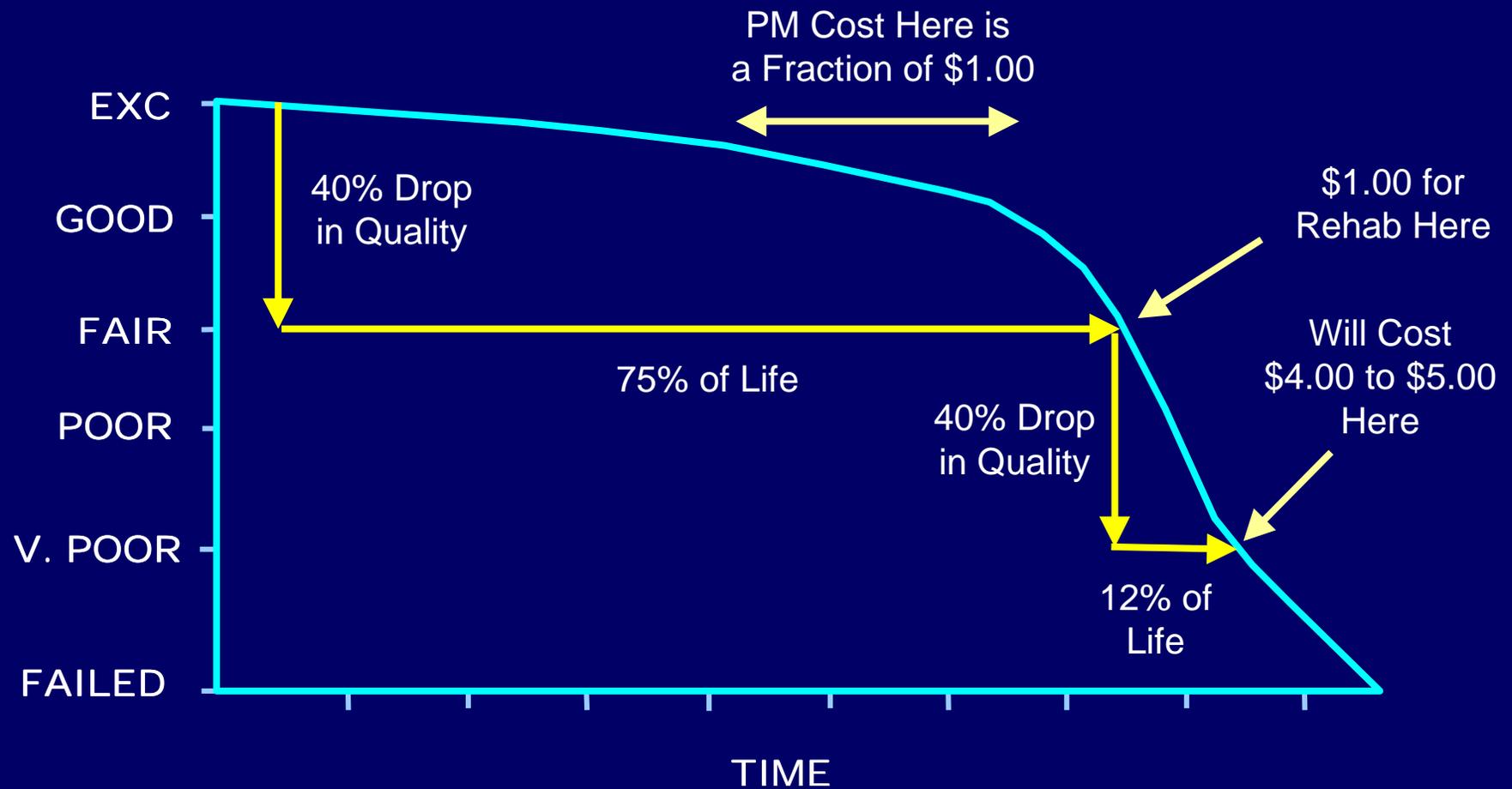
- Arrest light deterioration
- Retard progressive failures
- Reduce need for corrective maintenance
- “Right” treatment at the “right” time!

### ● Corrective (Reactive)

- After deficiency occurs
- More expensive

### ● Emergency

# Typical Variation of Pavement Condition as a Function of Time



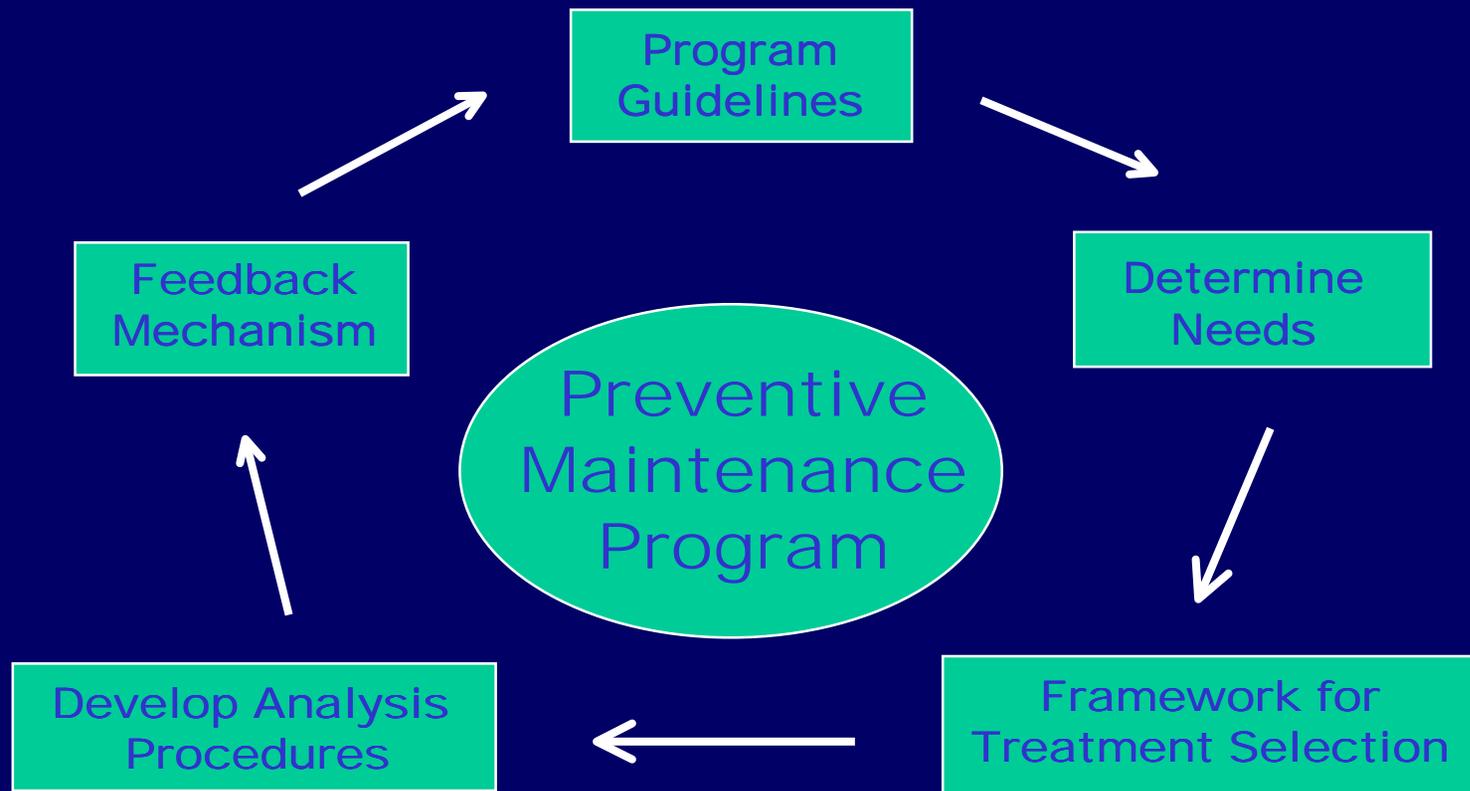
# Study Objectives

- ❑ Review existing practices related to selection of appropriate PM strategies
- ❑ Develop a framework for selection of the most appropriate PM treatments
- ❑ Prepare Summary Report

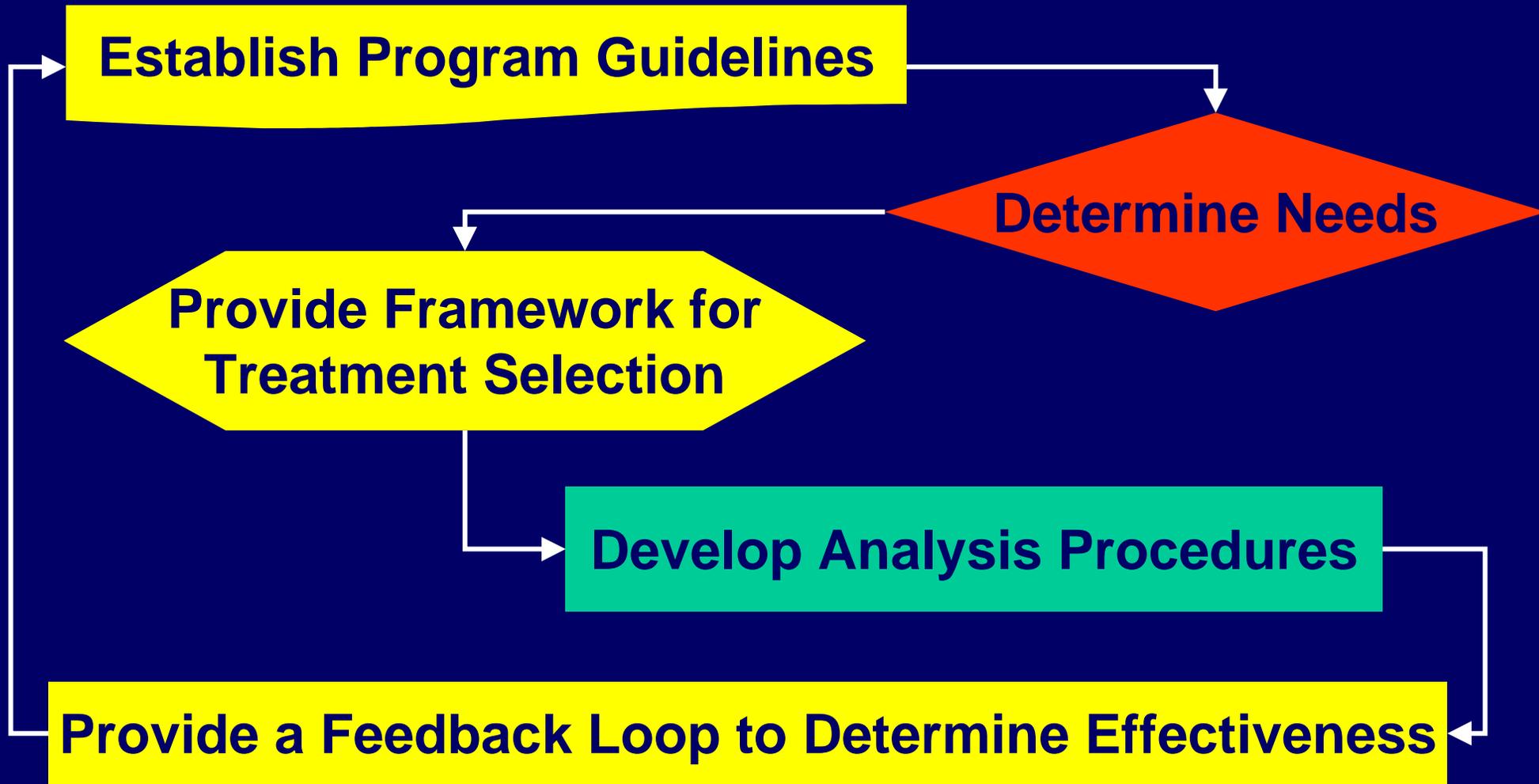
# Establishing a Preventive Maintenance Program

- ❑ Number of Technical Components BUT!
- ❑ Two most important are non-technical
  - Agency Top Management Commitment
  - Customer Education Program

# Elements of a Preventive Maintenance Program



# Elements Flowchart



# 1. Establish Program Guidelines

- ❑ "Policy Manual"
- ❑ Contains overall strategies and goals
  - Safety issues
  - Environmental issues
- ❑ Program coordinator named
- ❑ Technical elements
- ❑ Feedback loop

## 2. Determine Maintenance Needs

### □ Condition Survey

- Trained observers
- Automated vehicles
- Non-destructive testing (FWD, Friction)
- Cores, slabs

### □ Project data

- Location, ADT, % trucks, environment, etc.

### 3. Framework for Treatment Selection

- The “right” treatment at the “right” time on the “right” project
- Amen!

## 4. Develop Analysis Procedures for the Most Effective Treatment

- ❑ A number of procedures for determining **cost** effectiveness exist and should be used
- ❑ **Cost** should be part of the decision process but not the only consideration
- ❑ Use of decision trees is a viable method

## 5. Feedback Mechanism

- ❑ Generally a weakness in many management processes
  - “The boss doesn’t want to hear bad news” syndrome
- ❑ Need to know how the system is working
- ❑ A tool to adjust the program when needed

# Preventive Maintenance Treatments

- ❑ Can be effective if used under proper conditions to address distress
- ❑ Types of Flexible Pavement distress include:
  - Rutting
  - Cracking (fatigue, block, thermal, etc.)
  - Bleeding
  - Raveling

# Crack Sealing

- ❑ Used to prevent water and incompressibles from entering the pavement
- ❑ Cracks are often routed
- ❑ Sealants are only effective for a few years

# Fog Seal

- ❑ Application of diluted emulsion to enrich the surface
- ❑ Primarily used to address raveling, oxidation, and seal minor surface cracks
- ❑ Expected life not greater than 3 to 4 years

# Chip Seal

- ❑ Used to waterproof the surface, seal small cracks and improve surface friction
- ❑ Normally used on low-volume roadways, but have been used on high-volume facilities

# Thin Cold-Mix Seal

- ❑ Treatments include slurry seals, micro-surfacing and cape seals
- ❑ Used to fill cracks, increase frictional resistance and improve ride quality

# Thin Hot-Mix Overlay

- ❑ Treatments include dense-, open and gap-graded mixes
- ❑ Used to improve ride quality, increase frictional resistance and correct surface irregularities

# Unit Costs and Expected Life

Treatment	Unit Cost (\$/SY)	Expected Life (years)
Crack Seals	1.00	1 – 3
Fog Seals	0.45	2 – 4
Slurry Seals	0.90	3 – 7
Microsurfacing	1.25	3 – 9
Chip Seals	0.85	3 – 7
Thin HM Overlay	1.75	2 – 10

# Framework for Treatment Selection and Timing

- ❑ Data/criteria used for developing tools
- ❑ Decision tools for treatment selection
  - Decision Trees
  - Decision Matrices
- ❑ Benefits/limitations of decision tools
- ❑ Optimum timing of treatments

# Data/Criteria Considered in Developing Tools

- ❑ Pavement type and construction history
- ❑ Functional classification or traffic level
- ❑ Pavement condition index
- ❑ Specific type of deterioration present
- ❑ Geometric issues
- ❑ Environmental conditions
- ❑ Unit costs ?
- ❑ Expected life ?

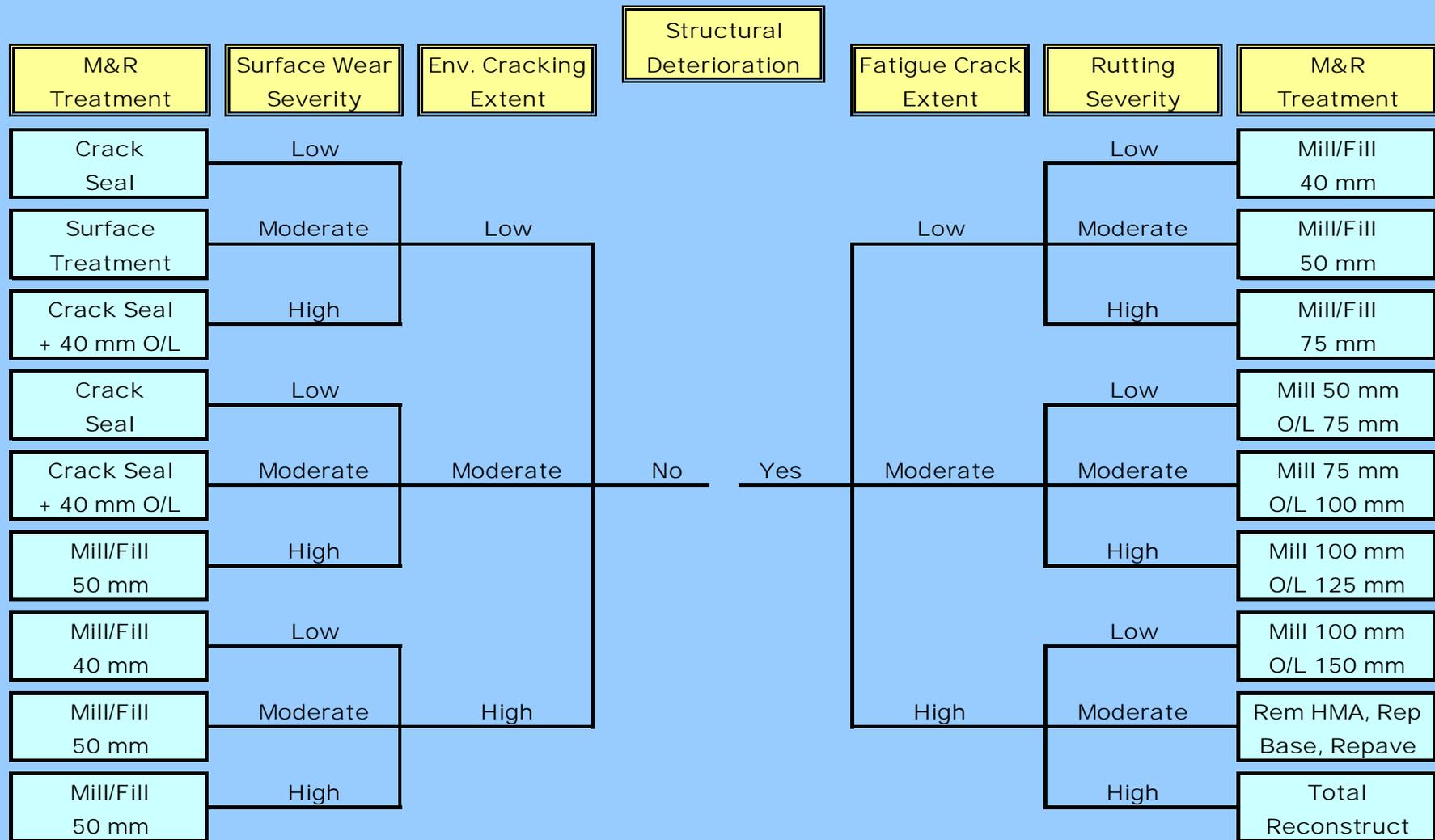
# Other Potential Criteria

- ❑ Availability of qualified contractors
- ❑ Availability of materials
- ❑ Time (of year) of construction
- ❑ Pavement noise
- ❑ Facility downtime
- ❑ Surface friction

# Typical Decision Tools

- ❑ Decision trees
- ❑ Decision matrices

# Example HMA Decision Tree



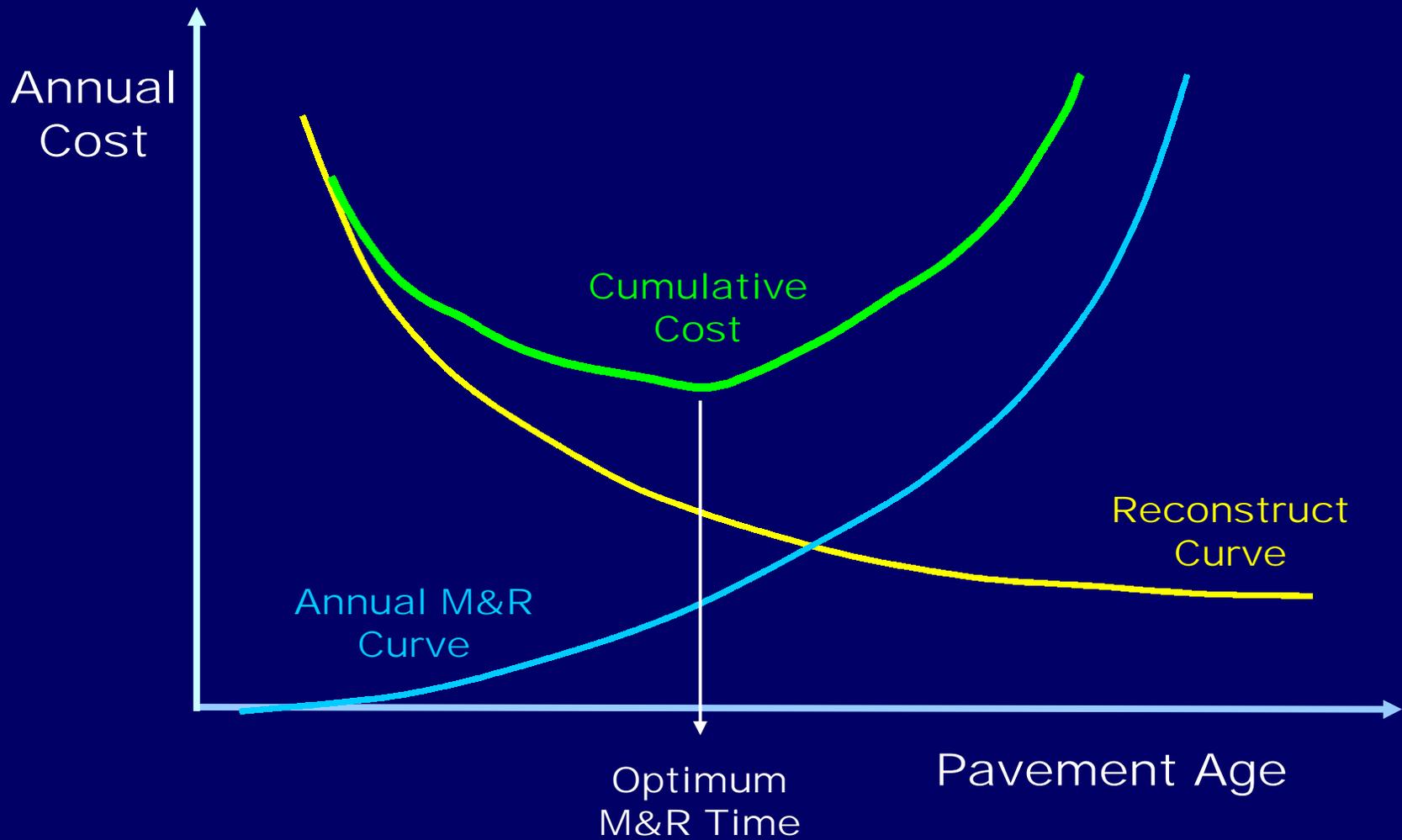
# Example HMA Decision Matrix

Distress	Severity	Treatment Number and Type												
		Do Nothing	Spot Repair	Seal Coat	Crack Filling	Cold Recycle	Rut Fill	Surface Mill	Thin Overlay	Thick Overlay	Part Mill & O/L	FD Mill & O/L	Reconstruct	Micro Surface
Flushing/ Bleeding	Moderate	N/A	RL	RL										
	Severe							RL	RL		10-12			RL
Non- Structural Cracking	Minor	N/A	3-5		3-5									
	Moderate		3-5		3-5				6-9		8-10			
	Severe									8-12	8-10	12-15	FL	
Insufficient Structure	Minor		RL			5-8	2-6		4-8					2-6
	Moderate						2-6		4-8	8-12		12-15	FL	2-6
	Severe									8-12		12-15	FL	
Bad Ride	Minor	N/A	RL					RL						
	Moderate							RL	8-10		10-12			
	Severe							RL		12-15	10-12			
Unstable Base & Subgrade	Minor		RL				2-6		4-8					2-6
	Moderate					5-8	2-6		4-8	8-12		12-15		
	Severe									8-12	10-12	12-15	FL	
Unstable Mix	Minor						2-6				6-10	8-12		5-8
	Moderate											8-12	FL	
	Severe											8-12	FL	
Aged Pavement	Minor		08-Apr	3-6			2-6							
	Moderate					5-10	2-6		6-10	8-12	8-12			
	Severe									8-12	8-12	12-15	FL	
Surface Raveling	Minor	N/A												
	Moderate			3-6										
	Severe								8-12					

# Benefits and Limitations

- ❑ Makes use of experience
- ❑ Works well for local conditions
- ❑ Good project level tool
- ❑ Transferability
- ❑ Limits innovation
- ❑ Difficult to consider multiple factors
- ❑ Difficult to consider multiple distresses
- ❑ Avoids thorough LCC analysis
- ❑ Not good for network level evaluation

# Optimum Timing



# Analysis to Determine the Most Effective Treatment

- ❑ Determine cost and life expectancy data for **YOUR** agency to reflect local conditions
  - Previous projects
  - Pavement Management records
- ❑ Perform cost effectiveness evaluation
  - Number of different approaches exist
  - Use Equivalent Annual Cost-simple and effective

# EQUIVALENT ANNUAL COST

$$\text{Equivalent Annual Cost (EAC)} = \frac{\text{unit cost of treatment}}{\text{expected life, years}}$$

# Decision Matrix

- ❑ Useful to analyze several variables
- ❑ Can take several forms
- ❑ Preparation is easy
  - Select potential treatments
  - Compute equivalent annual cost
  - Identify project specific conditions
  - Develop rating factors for each condition
  - Rate the importance of each
  - Compute total score

# Example Decision Matrix

## □ Assumptions

- Project PCI is 70
- Cracking low to moderate
- Surface condition variable
- Ride quality marginal
- Projected traffic, 5 years, less than 5K ADT
- Two lanes, suburban, feeder to strip shopping center
- Desired life is 7 years

# Example Decision Matrix (continued)

## □ Rating factors

- Customer satisfaction
- Performance
- Constructability

# Treatment Analysis Worksheet

RATING FACTOR		SCORING FACTOR	RATING FACTOR	TOTAL SCORE
<b>PERFORMANCE EVALUATION</b>				
____%	Expected Life	_____ X	_____ =	_____
____%	Seasonal Effects	_____ X	_____ =	_____
____%	Pavement Structure Influence	_____ X	_____ =	_____
____%	Influence of Existing Pavement Condition	_____ X	_____ =	_____
<b>CONSTRUCTABILITY</b>				
____%	Cost Effectiveness (EAC)	_____ X	_____ =	_____
____%	Availability of Quality Contractors	_____ X	_____ =	_____
____%	Availability of Quality Materials	_____ X	_____ =	_____
<b>CUSTOMER SATISFACTION</b>				
____%	Traffic Disruption	_____ X	_____ =	_____
____%	Noise	_____ X	_____ =	_____
____%	Surface Friction	_____ X	_____ =	_____

**RATING FACTOR:** PERCENT OF IMPACT ON TREATMENT DECISION (Total must equal 100%)

**SCORING FACTOR:**  
 5 = Exceptional  
 4 = Good  
 3 = Average  
 2 = Below Average  
 1 = Unsatisfactory

# Example Rating Factors

Treatment/ Factor	Thin HMA	Slurry Seal	Chip Seal	Micro- surfacing
Existing Conditions	3	1	4	2
Quality Materials	3	2	2	3
Pavement Structure	4	3	3	3
Expected Life	5	3	3	4
Qualified Contractor	4	4	5	2
Weather Limitations	5	4	3	4

# Total Ranking for Project

Treatment	Total Score
Thin HMA Overlay	3.40
Slurry Seal	3.50
Chip Seal	3.35
Microsurfacing	3.75

# Example Decision Matrix

## □ Rating factors

- For any given project, the number and types of factors will vary
- Should be developed for each agency, the same as the EAC factor
- Factors can be weighted to account for differences between treatments for a the same characteristic

# Computing Rankings

- ❑ Factors are computed and scores for each treatment are derived
- ❑ Treatment with highest score is considered the most effective treatment for the specific project

# Summary

- ❑ Preventive maintenance is the **only** effective way to manage pavements
- ❑ Simple, logical process for determining the the most effective treatment for a specific pavement has been presented
- ❑ Recognizing the type and cause of pavement distress is fundamental to the approach

## Summary (continued)

- ❑ Agencies must develop cost and life data for various maintenance treatments
- ❑ A number of factors must be accounted for in determining the most effective treatment
- ❑ Cost needs to be considered but must not be the only consideration
- ❑ Good engineering principles should guide the selection of the treatment